

# 1 **Template-matching based detection of hyperbolas in ground-** 2 **penetrating radargrams for buried utilities**

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## 9**Abstract**

10Ground-Penetrating Radar (GPR) is a mature geophysical technique that is used to map utility  
11pipelines buried within 1.5 m of the ground surface in the urban landscape. In this work, the  
12template-matching algorithm has been originally applied to the detection and localization of  
13pipe signatures in two perpendicular antenna polarizations. The processing of a GPR  
14radargram is based on four main steps. The first step consists in defining a template usually  
15from finite-difference time-domain simulations made of the nearby area of the hyperbola apex  
16associated with a mean size object to be detected in the soil whose mean permittivity has been  
17previously experimentally estimated. The second step consists in a pre-processing on the raw  
18radargram to correct variations due to antennas coupling, then the template matching  
19algorithm is used to detect and localize individual hyperbola signatures in an environment  
20containing unwanted reflections, noise and overlapping signatures. The distance between the  
21shifted template and a local zone in the radargram based on the L1 norm allows to obtain a  
22map of distances. A user-defined threshold allows to select a reduced number of zones having  
23a high similarity measure. In a third step, in each zone minimum or maximum discrete  
24amplitudes belonging to a selected hyperbola curve are semi-automatically extracted. In the  
25fourth step, the discrete hyperbola data  $(i,j)$  are fitted by a parametric hyperbola modeling

26using a non linear least square criterion. The algorithm has been implemented and evaluated  
27on numerical radargrams, and afterwards on experimental radargrams.

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29**Keywords:** Ground-penetrating radar, pipe detection, hyperfrequency, template matching, soil  
30characterization, ultra-wide band

31

## 321. Introduction

33Ground-penetrating radar (GPR) is a well-known non destructive technique for imaging  
34shallow subsurfaces by a propagating electromagnetic energy downward into the ground from  
35a transmitting antenna that is reflected at subsurface boundaries between media possessing  
36different electromagnetic (EM) properties and is collected by a receiving antenna. GPR is a  
37proposed technology to map utility pipelines in urban environments (fiber optics,  
38telecommunication lines, electrical cables, water and gas pipes, district heating network),  
39most of them have been buried within 1.5 m of the ground surface [1-2]. The buried targets  
40produce characteristic hyperbolic signatures in the radargram (Bscan) issued from the moving  
41of the GPR system along a linear path. Hyperbolic signatures represented in the distance-time  
42domain are specific to the target size, shape and dielectric characteristics and the orientation  
43of the electric field [3-4]. Challenges lie in detecting and classifying targets in an environment  
44with variations in the surface cover (asphalt, paving, sand, gravel, grass), in the subsoil with  
45spatial vertical or horizontal variability of the soil texture (water content, backfill soil,  
46multilayers...), and the presence of buried targets that can be close to each other (overlapping  
47hyperbolas) and have wide variations in dimensions, and in dielectric properties (metallic or  
48non-metallic).

49An ultra-wide band (UWB) ground-coupled radar operating in the frequency band [0.46 ; 4]  
50GHz and made of bowtie slot antennas has been preferred to an air-launched radar because it  
51increases energy transfer of electromagnetic radiation in the sub-surface and penetration depth  
52[1,5]. Moreover, UWB GPR and SFCW (step frequency continuous wave) have been used to  
53probe the soil structure using the benefit from both low and high frequencies bringing a  
54compromise in terms of depth resolution and penetration in a single measurement. This paper  
55is focused on the processing and analysis of radargrams using the semi-automatic template-  
56matching algorithm applied to GPR application in order to recognize hyperbolas produced by

57buried targets and extract information from them: detection, localization, and characterization.  
58Special attention has been paid to study the effects of the dielectric contrasts between the  
59targets and the surrounding soil and also of the polarization configuration of the GPR system  
60relative to the main axe of canonical targets (pipes or strips).

61In the literature, several works deal with the semi-automatic buried target detection and  
62characterization. There are two main classes of methods: in the first class the model of the  
63pattern is given a priori or designed by hand, in the second class the model is learned from the  
64collected data. The methods based on Hough transform and its derivatives [6-8], genetic  
65algorithm (GA) [10], and image segmentation and hyperbola fitting [16] are in the first class.  
66The methods based on artificial neural networks (ANNs) [8-11], support vector machine  
67(SVM) [12], and wavelet analysis [13-15] are in the second class. The template matching [17-  
6820] is widely used in pattern recognition, since it is a simple method, based on a prior model  
69extraction from one or several images, which leads to a good accuracy for detecting targets  
70with reduced variability in observed images. Surprisingly, this approach has not been  
71previously used in GPR, when observed patterns due to objects of interest are of quite similar  
72aspects in the radargrams.

73The template-matching algorithm is readily implemented on a computer and has a reasonable  
74calculation time providing that the image and the template sizes are not too large. The  
75template does not need to contain a hyperbola response very similar to the one to be detected,  
76it only needs to be able to discriminate between hyperbolas of interest and the background  
77(noise and small heterogeneities in the soil compared to the targets). The analysis of the  
78distance map based on the L1 norm using an optimal threshold has allowed to select a limited  
79number of template positions. In this work, the use of two antenna orientations along a profile  
80(named polarization diversity) has allowed to obtain two distance maps from which a mean  
81distance map should improve hyperbola detection in one of the two radargrams where the

82polarized response appears too weak and thus not detectable. The fitting of each hyperbola  
83applied on maximum or minimum amplitudes is performed by a parametric analytical model  
84based on the straight ray path hypothesis and using the constraint least square (LS) criterion.  
85Considering canonical objects (pipes and strips), the template-matching algorithm has been  
86tested on simulated (commercial software Empire) and experimental radargrams.

87This paper is structured as follows: in section 2, the radar geometry is briefly described that  
88was modeled using FDTD simulations. The parametric analytical ray path modeling was used  
89to model hyperbolas. Section 3 deals with the steps of the pattern recognition algorithm that  
90includes mainly the template-matching method and the parametric hyperbola fitting. The  
91developments and validation were first performed on synthetic images. In section 4, a few  
92experimental images acquired in a laboratory site are analyzed and the results associated with  
93the parameter analysis are discussed. Finally, in section 5 conclusions and perspectives are  
94drawn.

95

## 962. Modeling of the GPR system

### 97A. Numerical FDTD modeling

98The GPR SFCW system made of a pair of shielded bowtie slot antennas designed on a FR4  
99substrate, with real relative permittivity  $\epsilon' = 4.4$  and thickness  $e = 1.6 \text{ mm}$  (see Fig. 1a), has  
100been preliminary studied using FDTD simulations (commercial software Empire) [5]. The  
101antenna radiation characteristics are adequate for operating in an UWB in the frequency range  
102[0.46; 4] GHz very close to the soil surface (ground-coupled). Each antenna is enclosed in a  
103shielded conductive rectangular box filled with a three-layered absorbing foam with  
104dimensions  $362 \times 231 \times 67.5 \text{ mm}^3$ . Because the simulation time of the pair of antennas moving

105on the soil surface on a distance close to 1.6 m appears prohibitive for a parametric study  
106(more than one week using a CPU i7-950 3.07 GHz), a pair of more simple planar antennas,  
107named blade dipoles working in the same frequency band, but with a higher reflection  
108coefficient, has been used. The blade dipoles designed on a FR4 substrate are non shielded  
109and their dimensions are  $290 \times 56 \text{ mm}^2$  (see Fig. 1c) [21, 22].

110The offset between antennas in simulations and experiments has been fixed to 60 mm, and the  
111elevation  $h_s$  above the soil is equal to 10 mm. The soil electrical parameters ( $\epsilon'_s, \sigma_s$ ) are  
112assumed constant across the frequency range. The GPR system is moved linearly on the soil  
113surface with a step  $\Delta y = 40 \text{ mm}$  (see Fig. 1a) to acquire a radargram. Because the  
114experimental and modeled antennas appear as complementary UWB dipoles, the polarization  
115of the electric field ( $E_\theta, E_\phi$ ) appears perpendicular. In the simulations, the excitation current  
116has the shape of the derivative of the Gaussian function with a time zero estimated to 0.3 ns  
117and a duration (99% of the total energy) of 0.5 ns (peak frequency 1 GHz, bandwidth 3 GHz).

118

### 119B. Analytical ray path modeling

120The analytical modeling based on the ray path hypothesis supposes that the target is localized  
121in the far-field zone of the GPR system. Such a modeling helps the interpretation of the  
122earliest reflection component of a hyperbola pattern. Considering a buried canonical object,  
123such as a cylindrical pipe, the linear displacement of the GPR system on the soil surface ( $h=0$ )  
124such as depicted in Fig. 1a gives a time-distance curve with the shape of a hyperbola. The  
125two-way (round-trip) travel time can be expressed as a function of the horizontal position  
126  $y$  of the radar, the radius  $R$ , its horizontal location at  $y_0$  and its depth  $d$  under the  
127soil surface [16]. The lateral center-to-center antenna distance  $SR$  is considered in this

128study because of the marked dimension size of the antennas in the two main polarization  
129configurations.

130The equations associated with the travel-time write as follows:

$$131 \quad \begin{aligned} y_T &= y_i - SR/2 \\ y_R &= y_i + SR/2 \end{aligned} \quad (1)$$

$$132 \quad \begin{aligned} T_{Tx2t\ arg\ et} &= [(y_0 - y_T)^2 + (d + R)^2]^{0.5} - R \\ T_{t\ arg\ et\ 2\ Rx} &= [(y_0 - y_R)^2 + (d + R)^2]^{0.5} - R \end{aligned}$$

133The velocity  $v$  of the medium depends on the real relative permittivity  $\epsilon'_s$  such as:

$$134 \quad v = c / \sqrt{\epsilon'_s} \quad (2)$$

135The generalized hyperbola equation  $(y_i, t_i)$  including the antenna offset is expressed by:

$$136 \quad t_i = (T_{Tx2t\ arg\ et} + T_{t\ arg\ et\ 2\ Rx}) / v \quad (3)$$

137Thus, the hyperbola depends on five parameters  $(SR, y_0, d, R, v)$ .

138A preliminary parameter study presented in Fig. 2 was conducted considering two  
139configurations of the SFCW GPR system: parallel (SR=291 mm), and end-fire (SR=422 mm)

140[5]. Assuming a soil with  $\epsilon'_s = 3.5$  ( $\sigma_s = 0$ ) and a buried pipe at depth  $d = 180$  mm with several  
141radius values [0,40,80,120,160] mm, we first remark from Fig. 2a that when SR=0 the radius  
142reduces the arrival time as if the velocity was increased; when R=0, a time difference of 0.64  
143ns at the apex is observed between the configurations SR=0 and SR=291 mm. We observe that  
144the antenna distance SR flattens the apex and produces a delay in the arrival time, particularly  
145significant when SR=422 mm (see Fig. 2b). The time difference at the apex increases with the  
146soil permittivity as visualized in Fig. 2c. In the configuration SR=291 mm, we remark that the  
147arrival time difference at the apex with a pipe radius less than 40 mm appears less than 0.1 ns

148(at the apex when  $R=0$ , if  $SR=291$  mm  $t_0=2.89$  ns, and if  $SR=422$  mm  $t_0=3.45$  ns); thus, in  
149this range the radius value cannot be distinguished in a radargram and particularly when  
150considering a time step of  $\Delta t=5.56E-11$  s used in experiments. With a larger antenna  
151distance  $SR=422$  mm, the radius variations appear more easily separable at the apex, however  
152the hyperbola apex appears more flat and its amplitude will appear lower when the arrival  
153time is higher. The variation of the soil real relative permittivity in the range [4 ; 10]  
154( $R=40$  mm) and illustrated in Fig 3c highlights that the hyperbola slope increases with the  
155permittivity value, and the apex appears sharper.

156

### 1573. Template matching technique and hyperbola fitting

158The proposed algorithm based on template-matching aims to detect semi-automatically  
159hyperbola signatures without a preliminary training period, and to estimate target  
160characteristics as reliably as possible.

161The radargram processing is made of a series of steps:

162- A pre-processing performed on the raw radargram to remove the antenna direct coupling and  
163the clutter removal to enhance radargram quality;

164- The construction of an amplitude distance map based on the translation on the radargram of  
165a predefined template at every possible positions where a mean amplitude distance is  
166evaluated according to the  $L_1$  norm [8-9]. A threshold value allows to select local discrete  
167minima on the distance map that corresponds to a high level of similarity with the template.

168- At the selected positions, the hyperbola points close to the apex that can correspond to a  
169maximum or a minimum amplitude are extracted. For each curve, a fitting of the points is  
170performed using a parametric model;

171- The estimation of the parameters describing the hyperbola curve such as  $d, R, v$  is obtained  
172using a hyperbola fitting of the points to the analytical relation (3) according to the LS



173criterion. The Hessian matrix in 2D and particularly its eigenvalues can be used to  
174characterize the uncertainties on the estimated parameters  $(d,v)$  .

175

#### 176A. Pre-processing

177In a radargram, the strongest and first signal detected associated with antenna ground-  
178coupling may mask the hyperbolas of shallow buried objects. Depending on the depth of the  
179objects and more precisely the degree of interaction of their responses with the signal of the  
180clutter, an adequate clutter reduction technique has to be chosen [1, 22]. In this study, we have  
181considered objects buried at a depth higher than 10 cm, thus the median subtraction at each  
182time sample is assumed sufficient to reduce the horizontal component of the clutter.

183Preliminary to image processing, the duration of the radargrams considered has to be limited  
184(10 ns for numerical data, and 5 ns for experimental data) in order to eliminate the soil  
185background without potential targets. Moreover, the distance-time units ( $\Delta y=40\text{ mm}$  in  
186numerical and experimental data) of the template image defined have been scaled according  
187to the image to be analyzed that supposes to perform a time interpolation; as in synthetic  
188radargrams the time step was fixed to  $\Delta t=2.396\ 10^{-11}\text{ s}$  and  $1.791\ 10^{-11}\text{ s}$  in the parallel and  
189end-fire configurations, respectively (see Fig. 3a and 3b), in experiments it was fixed to  
190  $5.5610^{-11}\text{ s}$  . A time zero correction has been applied in each radargram according to the  
191shape of the excitation signal (the first positive amplitude component arrives here at 0.5 ns) to  
192obtain a time zero at the wave ground bounce.

193It must be underlined that in a radargram the data representing discrete amplitudes can be  
194either binary or signed, depending on the quality and the dynamic range before the  
195conversion; as in simulated data there is no background noise or unwanted reflections, the

196conversion of signed amplitudes into binary amplitudes doesn't change the image quality. In  
197experimental data, the signed amplitudes will be preferred.

198

### 199B. *Template-matching technique*

200The template-matching algorithm supposes to preliminary define a template including the  
201average aspect of the different hyperbolas of interest in the radargrams to be analyzed. A  
202preliminary study involving buried pipes under study was made to visualize and interpret a  
203few synthetic hyperbola signatures. This study is an extension of the work in [3,23]. Synthetic  
204radargrams have been obtained from FDTD simulations (software Empire) considering a  
205system with blade dipoles (offset=60 mm,  $h_s=10$  mm) in both configurations, parallel and end-  
206fire (see Fig. 3a and 3b) moving linearly on a homogeneous soil ( $\epsilon'_s=3.5$ ,  $\sigma_s=0.01$  S.m<sup>-1</sup>,  
207  $h=1$  cm ). A pipe (radius R=32 mm) with fundamental dielectric characteristics such as air-  
208filled, conductive, and dielectric ( $\epsilon'=15$ ) was buried at depth  $d=168$  mm [3-4]; the depth  
209  $d$  is measured between the soil surface and the top surface of a pipe. The hyperbola  
210signatures visualized in Fig. 4a, 4b, 4c, 4d, 5a 5b and 5c are plotted in the time range 8 ns, and  
211the time vectors were interpolated to match the experimental time step  $\Delta t=5.56 \cdot 10^{-11}$  s .  
212In general, we remark that the parallel configuration such as presented in 4a and 4b  
213(SR=116 mm) generates multiple reflections with higher amplitudes in the hyperbola legs  
214whatever the dielectric characteristics of the pipe as compared to the end-fire case. These  
215reflections correspond to wave bounces between the soil surface and the pipe top. In the case  
216of a non conductive pipe, waves propagate in the pipe, and we observe a marked reflection at  
217the bottom of the pipe when it is filled with a medium having a high contrast with the soil, in  
218particular in water, that induces a wave velocity difference. Moreover, the amplitude of the  
219backscattering waves appears reduced when the soil is attenuating.

220 In the end-fire configuration, such as visualized in Fig. 4c and 4d (SR=340 mm), hyperbola  
 221 patterns have few components, and appear more compact. The conductive pipe induces a  
 222 specific inverse polarity (negative amplitude) in the reflection pattern that allows to  
 223 distinguish it. In both polarizations, we remark that the conductive pipe has the strongest  
 224 reflection amplitude. In the case of a high dielectric pipe (  $\epsilon' = 15$  ), Fig. 5a and 5b highlight  
 225 that in both polarizations multiple reflections induced between the top and bottom of the pipe  
 226 can be distinguished because the velocity is relatively low inside the pipe; the parallel  
 227 polarization gives a higher amplitude response. In Fig. 5b, artifacts that are induced by the  
 228 clutter removal treatment appear visible because in the present case the clutter component and  
 229 the target signature overlap (see Fig. 5c). Samples of these synthetic pipe signatures can serve  
 230 as template images in the experiments.

231

232 The template does not need to be perfect, it only needs to allow us to discriminate between  
 233 hyperbolas of interest and the background (small heterogeneities). When the set of hyperbolas  
 234 of interest is too large to be represented by a single pattern, several patterns can be used in  
 235 sequence. Each defined template includes a small portion of a hyperbola pattern in the vicinity  
 236 of the apex and is scaled in time, distance and amplitude to the radargram under analysis.

237 Assuming an observed image  $g(i,j)$  with a size  $M \times N$ , and a template image  $t(i,j)$ ,  
 238 both with scaled amplitudes, we define the  $L_1$  norm distance map  $E$  between  $g$  and  $t$  by the  
 239 following relation [18]:

$$240 \quad E(m,n) = \sum_i \sum_j |t(i,j) - g(i-m, j-n)| \quad (4)$$

241 The summation is evaluated at all pixels (i,j) of the template  $t$  that is translated to all possible  
 242 positions (m,n) along the observed image  $g$ . This leads to an image of L1 distances, also  
 243 named absolute distance map. The position (m,n) at which the smallest value  $E(m,n)$  is

244obtained corresponds to the best match between the template  $t$  and the corresponding sub-  
245image in  $g$ . A threshold value allows to select a limited number of local minima  
246corresponding to distances  $E$  less than the threshold where the template is well matched  
247with enough amplitude (visualized by “+” signs on the radargram, see Fig. 7c and 8c).

248The template-matching algorithm has been first applied on synthetic data. The GPR system  
249made of blade dipoles in the parallel (see Fig. 6) and end-fire configurations has been  
250considered on a homogeneous soil structure including three close buried pipes at several  
251depths. The pipes, from the left to the right, made of conductor ( $n^{\circ}1$ ), dielectric ( $n^{\circ}2$ ,  $\epsilon'_s=9$   
252) and air ( $n^{\circ}3$ ,  $\epsilon'_s=1$ ) are separated by a distance equal to 300 and 250 mm respectively. The  
253pipe radii are respectively 32, 22 and 11 mm. The radargrams after clutter removal are  
254presented in Fig. 7c and 8c in the parallel and end-fire configurations (time range 10 ns). The  
255comparison of both radargrams highlights a difference in shape and amplitude in the  
256hyperbola signatures of the three objects that is explained by excitation direction of the  
257electric field towards the pipes which are either conductive or dielectric. In both polarizations,  
258we observe that the air-filled pipe has a significant lower response than the two other pipes,  
259and thus appears masked; this phenomenon is caused by the weak permittivity contrast  
260between the pipe and the soil that will be higher in a real soil.

261In the end fire configuration, the synthetic template (  $189 \times 7$  pixels,  $\Delta t = 1.791 \cdot 10^{-11}$  s )  
262visualized in Fig. 8a represents the upper part, near the apex, of the hyperbola signature of the  
263conductive pipe in the end-fire configuration to further consider hyperbolas that overlap  
264because of close objects; the apex appears in the middle of the time range. The  
265complementary version of this template (  $141 \times 7$  pixels,  $\Delta t = 2.396 \cdot 10^{-11}$  s ) has been used in  
266the parallel configuration as it appears more adapted. At present, the template includes a

267significant upper zone without signal to allow the detection of first hyperbolas containing  
268information.

269In the parallel configuration, the similarity measure, applied on Fig. 7c (considering a time  
270range 8 ns to reduce late reflections) while translating the template of Fig. 7a, leads to the  
271distance map of Fig. 7b. In this last figure, two marked minima can be visualized that  
272correspond to the positions of both significant hyperbolas. Defining the maximum threshold  
273value to 120, the first two local positions of hyperbolas are detected, as visualized in Fig. 7c  
274(time range 10 ns). We remark that the air-filled pipe has not been detected. In the end-fire  
275configuration, the analysis of the radargram presented in Fig. 8c using the template of Fig. 8a  
276according to the template matching algorithm, gives the distance map of Fig. 8b. The  
277maximum threshold value of 162 allows to detect the two first hyperbola zones associated  
278with the conductive and dielectric pipes such as visualized in Fig. 8c (time range 10 ns). As  
279previously, the pipe air-filled pipe cannot be detected.

280The polarization diversity (joint information from both perpendicular polarizations) can be  
281used to enhance the detection in each polarization [23]. Thus, a mean distance map can be  
282calculated from the individual distance maps in the parallel and end-fire configurations. A  
283validation with experimental data of this point is described in Section 4.

284

### 285C. *Hyperbola fitting*

286For each detected template location, the next processing step consists in three sub-steps:  
287location of the maximum or minimum amplitude arch associated with first arrival times,  
288extraction of the discrete amplitudes, and fitting of the arch by an analytical hyperbola  
289equation.

290The curve points associated with the first arrival times of a hyperbola may correspond to a  
291maximum or a minimum amplitude. Because higher order reflections in a hyperbola pattern

292 may produce a stronger amplitude as compared to the amplitude of the first reflection, an user  
293 interaction is necessary (semi-automatic) to select a hyperbola curve either on the upper or on  
294 the lower half zone of the template position. Starting from the middle point of the template,  
295 close points belonging to the hyperbola curve on the left and on the right legs (usually 3 or 4  
296 points) are extracted step-by-step. It is possible to extract points outside the template location  
297 mainly when no signature overlaps. Because the number of curve points extracted is limited, a  
298 polynomial fitting of the second order was made to refine the estimate of the abscissa  $y_0$  at  
299 the apex. Then, knowing a priori parameters SR and  $y_0$ , a fitting with the analytical  
300 modeling described by equation (3) and based on a constraint LS criterion has allowed to  
301 estimate the three parameters  $(d, R, v)$ . The constraint consists in defining for each parameter  
302 a minimum value, such as in the present case 40 mm (or 30 mm), 50 mm and 70 mm.ns<sup>-1</sup> for  
303 R, d and v, respectively and a maximum value 60 mm, 200 mm and 190 mm.ns<sup>-1</sup>, respectively.  
304 In the analysis of the numerical radargrams, initial values are respectively 40 mm, 100 mm  
305 and 134.16 mm.ns<sup>-1</sup> (for  $\varepsilon' = 5$ ). In order to confirm the stability of the algorithm, a second  
306 fitting in a similar manner based on the analytical formula is performed using the last  
307 estimated parameters of R, d and v as initial values. Each hyperbola fitting in the radargram is  
308 made independently of each other.

309 Considering the radargrams in Fig. 7c and 8c associated with the parallel and end fire  
310 configurations, the parameter values obtained from the hyperbola fitting are collected in  
311 Tables 1 and 2, respectively. In each template location, the hyperbolas fitted were plotted (see  
312 Fig. 7c and 8c). From the parameter values, we remark that the pipe radius cannot be properly  
313 estimated, as it appears smaller than the radiating aperture of an antenna (on the order of the  
314 first Fresnel zone in the soil [24]); thus, the radius estimate remains equal to the initial value  
315 defined in the optimization function. In general, the real permittivity value was estimated with

316an uncertainty less than 15%, and this uncertainty influences the estimation of the depth. We  
317observe that the curve fitting of pipe n°2 gives a lower permittivity estimate in both  
318configurations as compared to the curve fitting of pipe n°1. In the end-fire configuration, we  
319remark from Fig. 8c that the pipe signature n°2 is made of a significant second reflection that  
320appears quite higher than the first reflection, that makes it difficult to detect. Consequently, the  
321second hyperbola signature which arrives 0.4 ns later has been analyzed and the depth will  
322need an adjustment of -69.3 mm (see Table 2). The fitting of this signature gives a higher  
323objective function  $f_{val}$  for pipe n°2 because 4 points have been considered in the left leg of the  
324hyperbola. Otherwise, the objective functions are of the order of  $10^{-3}$ . In general, we observe  
325that the uncertainty of the depth is less than 22%.

326

	d	R	$\epsilon'$	$t_0$	$x_0$	fval
Pipe n°1 (1 <sup>st</sup> maximum)	184.3 mm	40	3.25	2.3 ns	-189.1 mm	$1.68 \cdot 10^{-3}$
Pipe n°1 (true values)	168 mm	32	3.5		-185 mm	
Pipe n°2 (1 <sup>st</sup> maximum)	98.8 mm	40	2.92	1.26 ns	113.1 mm	$7.67 \cdot 10^{-4}$
Pipe n°2 (true values)	79 mm	20 mm	3.5		115 mm	

327 **Table 1:** Parameter estimation in the parallel configuration for the synthetic Bscan of Fig. 7c

	d	R	$\epsilon'$	$t_0$	$x_0$	fval
Pipe n°1 (1 <sup>st</sup> minimum)	150 mm	30	3.93	2.94 ns	-188.3 mm	$8.23 \cdot 10^{-3}$
Pipe n°2 (2 <sup>nd</sup> minimum) (1 <sup>st</sup> minimum by extrapolation )	149.9 mm 80.6 mm	30	2.99	2.55 ns 2.11 ns	113.9 mm	$1.67 \cdot 10^{-2}$

328 **Table 2:** Parameter estimation in the end-fire configuration for the synthetic Bscan of Fig. 8c

#### 3304. Evaluation on experimental data

331 Targets with different dielectric characteristics have been buried in a large sandy box of the  
 332 public square Perichaux, Paris 15<sup>th</sup> district. The sand was not compacted and its relative  
 333 dielectric permittivity was estimated to 3.5 from CMP (Common Mid Point) measurements.  
 334 The time zero was corrected according to CMP measurements that have allowed to locate the  
 335 direct waves. The pair of bowtie slot antennas (see Fig. 1b) separated by a 60 mm offset was  
 336 moved linearly on the soil surface with a step of 40 mm to acquire a radargram. Both  
 337 polarizations, parallel and end-fire, have been considered separately.

338 The complex transmission coefficient  $\tilde{S}_{21}$  at the receiving antenna port was measured and  
 339 stored using a vector network analyzer (VNA) ANRITSU MS 2026B in the frequency range  
 340 [0.05;4] GHz (1601 samples). To obtain the transmitted signal in the time domain, an  
 341 apodization (zero padding) has been applied to  $\tilde{S}_{21}$  in order to smoothly extend the  
 342 frequency band from 4 to 9 GHz. The excitation signal used in FDTD simulations, the first  
 343 derivative of the Gaussian function with a duration equal to 0.5 ns, has been introduced in  
 344 each experimental signal in the frequency domain. The product of the spectrum of the  
 345 excitation signal (convolution in the time domain) with the measured  $\tilde{S}_{21}$  has been  
 346 performed to further calculate the inverse Fourier transform (IFFT) and obtain time data.

347 In the sandy box, a 25 mm diameter dielectric pipe and a thin vertical 10 mm width (2 mm  
 348 thick) conductive strip were buried at depths estimated to 160 and 170 mm respectively such  
 349 as presented in Fig. 9. Both objects are separated by a 750 mm distance. Firstly, a synthetic  
 350 template was computed from 3D FDTD simulations (software Empire) considering the  
 351 detailed bowtie slot antenna geometries (section 2.A) and 32 mm radius conductive pipe  
 352 buried in a soil with a real relative permittivity  $\epsilon' = 3.5$  ( $\sigma = 10^{-2} S.m^{-1}$ ). In this template



353 visualized in Fig. 10, it was wise to define a compact hyperbola signature with reduced  
354 multiple reflections to further detect different hyperbolas in a radargram in both polarizations.  
355 Thus, a conductive pipe in the end-fire configuration has been considered. The template (see  
356 Fig. 10) was scaled in time and amplitude to match the experimental time step  
357  $\Delta t = 5.56 E - 11$  s (step distance 40 mm also used in experiments), and the amplitude range of  
358 the radargrams presented Fig. 11b and 11d (time range 5 ns). Signed amplitudes have been  
359 used here to not deteriorate the image quality.

360 The template-matching algorithm was performed on the experimental radargrams visualized  
361 in Fig. 11b and 11d and associated with both parallel and end-fire polarizations. The L1 norm  
362 distance maps are presented in Fig. 11a and 11c. The maximum threshold values leading to  
363 the detection of the first and most significant hyperbolas are 0.162 and 0.195 respectively;  
364 higher values give additional detections (false alarms) that don't correspond to buried objects  
365 but to background heterogeneities. We remark that the end-fire polarization does not permit to  
366 detect the air-filled pipe. The results of the parameter evaluation from the LS fitting of each  
367 hyperbola detected are collected in Tables 3 and 4. In general, the positions  $y_0$  of the objects  
368 appear correctly evaluated. Concerning the real permittivity value of the soil, the parallel  
369 configuration gives higher estimates as compared to the end-fire configuration, and  
370 consequently the target depths appear more important; from Table 3, we remark that the  
371 depths of the pipe and the strip have been both evaluated to 200 mm, and more important than  
372 those a priori evaluated (see Fig. 9). In the case of a soil having weak permittivity variations,  
373 an additional step would be to find an optimum permittivity value issued from the several  
374 estimates. We remark that the objective function  $f_{val}$  associated with the LS fitting is  
375 presently higher for experimental data than for synthetic data, of the order of  $10^{-2}$ , because the  
376 image quality appears lower.

377To detect the air-filled pipe in Fig. 11d, joint information from radargrams issued from the  
 378parallel and end-fire configurations (polarization diversity) could be used. Thus, the mean  
 379distance map was calculated from the individual distance maps in the parallel and end-fire  
 380configurations (see Fig. 11a and 11c) leading to the result visualized in Fig. 12a. A weak  
 381threshold (0.18) situated between the previous ones (0.162 and 0.195) associated with both  
 382polarizations, has allowed here to detect both hyperbolas without false alarms in the end-fire  
 383configuration, that was not possible when this polarization was only considered.

384Further insight into the solutions of the parameters estimated in the fitting has been gained by  
 385calculating the Hessian matrix  $H$  at the stationary point to evaluate its nature using its  
 386eigenvalues.

387The rate of convergence and sensitivity to round-off errors is given by the condition number  
 388of matrix  $H$ , that is the ratio of its largest to its smallest eigenvalues. In the present examples,  
 389fixing the pipe radius that cannot be evaluated properly has led to a decrease of the condition  
 390number. The eigenvalues associated with the several fitting in both polarizations are collected  
 391in Table 5. In general, the condition number is high, that means that correlation may exist  
 392between the two parameters and thus the convergence of the estimation algorithm appears  
 393slow.

394

	d	R	$\epsilon'$	$t_0$	$y_0$	fval
Pipe n°1 (maximum )	200 mm	60	3.6	3.08 ns	458.9 mm	$2.68 \cdot 10^{-2}$
Pipe n°1 (true values)	$\sim 160$ mm	12.5 mm	3.5-4		$\sim 500$ mm	
Strip n°2 (maximum )	200 mm	60	3.47	2.99 ns	1232 mm	$2.23 \cdot 10^{-2}$
Strip n°2 (true values)	$\sim 170$ mm	5 mm	3.5-4		$\sim 1200$ mm	

395 **Table 3:** Parameter estimation in the parallel configuration for the experimental Bscan of Fig.

396

11b

	d	R	$\epsilon'$	$t_0$	$y_0$	fval
Strip n°2 (maximum )	165.6 mm	25.5	2.65	2.82 ns	1250 mm	$1.85 \cdot 10^{-2}$

397 **Table 4:** Parameter estimation in the end-fire configuration for the experimental Bscan of Fig.

398

11d

399

Configuration s	Eigenvalues for (d;v)
Parallel Pipe n°1	$(4.1 \cdot 10^{-5};$ $2.1 \cdot 10^{-2})$
Strip n°2	$(4.2 \cdot 10^{-5};$ $1.9 \cdot 10^{-2})$
End-fire Strip n°2	$(4.0 \cdot 10^{-5};$ $9.6 \cdot 10^{-3})$

400 **Table 5:** Eigenvalues of the Hessian matrix at the estimates of the depth d and velocity v from

401 hyperbola fitting in both polarizations

402

403 A second soil structure built in the sandy box includes two buried objects, a 25 mm diameter  
 404 water-filled pipe and a horizontal 2 mm width strip with a 10 mm height such as visualized in  
 405 Fig. 13a. In the parallel configuration, the radargram not presented here shows two very  
 406 similar weak hyperbola signatures quite difficult to detect. In the end-fire configuration, the  
 407 radargram presented in Fig. 13c shows two different hyperbola signatures. Using the template  
 408 of Fig. 10, the template-matching algorithm has permitted to localize only the strip signature  
 409 (threshold value 0.202) because the water filled pipe shows a quite different and specific  
 410 hyperbola pattern; a threshold value higher than 0.202 will lead to detect several signatures in  
 411 the background. The parameter estimations issued from the hyperbola fitting lead to the

412 values collected in Table 6. The permittivity estimate appears close to the value issued from  
 413 the previous experiments in the end-fire configuration.

414

	d	R	$\epsilon'$	$t_0$	$y_0$	fval
Strip n°2 (maximum )	187.8 mm	60	2.49	2.61 ns	1299 mm	$1.07 \cdot 10^{-1}$

415 **Table 6:** Parameter estimation in the end fire configuration for the experimental Bscan of Fig.

416

13c

### 4175. Conclusion

418 This study is focused on the feasibility of detecting the location, depth, lateral dimension of  
 419 long but thin buried objects such as pipes or strips in a soil using a GPR system. The most  
 420 difficult tasks in the analysis of GPR radargrams is the split of overlapping signatures, the  
 421 detection of a hyperbola pattern in a noisy background and in a weak image quality, the  
 422 detection of an object with a small lateral dimension and a weak contrast with a perturbed  
 423 surrounding medium. The work presented was carried out in two main steps: firstly, a  
 424 theoretical parameter study (based analytical and numerical results) has consisted in analyzing  
 425 hyperbola signatures of different dielectric pipes as a function the dielectric contrast with the  
 426 surrounding medium, the polarization of the electric field and their diameter, and secondly a  
 427 semi-automatic algorithm based on the template-matching technique and LS hyperbola fitting  
 428 was developed to detect, localize and characterize a given but not restrictive hyperbola  
 429 pattern. The template-matching algorithm does not need a training period, but needs the help  
 430 of the user because of the great diversity of hyperbola signatures and the objective of the  
 431 algorithm is to operate with a non perfect template.

432 The template-algorithm validated on numerical radargrams issued from FDTD simulations  
 433 has shown that distinct templates have to be associated with both polarizations. Depending of  
 434 the level of details required, several templates may be successively used to identify

435 progressively the dielectric nature of a buried target among several in a radargram. A template  
436 has to consider the lateral dimension of the GPR system when it is quite larger than the size of  
437 the object to be detected. A sensitivity analysis has to be performed to study in details the  
438 influence of the soil permittivity used in the template to detect hyperbolas in a radargram. The  
439 advantage of using a SFCW GPR is that the excitation signal used in the simulated template  
440 can be used in the IFFT transform. Even with a few extracted hyperbola points, the present  
441 work shows that main parameters associated with the target can be estimated, but may be  
442 slightly correlated. This correlation can be diagnosed by means of the Hessian matrix.  
443 Considering measurements in a controlled environment, this work has shown that synthetic  
444 templates can be used to analyze radargrams provided that the templates have the same time  
445 step and amplitude range adjusted if necessary by interpolation; thus, a set of patterns has to  
446 be collected. The analysis of an experimental radargram in the end-fire configuration has  
447 shown that in the case of a buried water-filled pipe and a vertical conductive strip, the selected  
448 synthetic template was not able to detect the signature of the water-filled pipe because of its  
449 specific signature. The template-matching algorithm applied to GPR was extended by using  
450 the benefit of polarization diversity. This implies to define a mean distance map using the  
451 distance maps of both polarizations to improve the detection of weak hyperbola signatures in  
452 a polarization and strengthen the algorithm robustness. Further studies will be focused on  
453 bringing improvements to the template-matching algorithm, particularly during its validation  
454 on experimental radargrams acquired in the laboratory site Sense-City [26] where different  
455 targets representing utilities and cracks were buried. Thus, we are planning to build a data  
456 base of templates with variable sizes and variable dielectric contrasts of pipes and strips and  
457 variable surrounding materials. This new site is a good opportunity to refine the clutter  
458 removal procedure and to evaluate the performances of the template matching algorithm in a  
459 situation close to a real environment.



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